

2003 SB 123 Update Conference

Wichita – June 15, 2010

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The content of this presentation was partially funded by
Bureau of Justice Statistics Grant 2009-BJ-CX-K051

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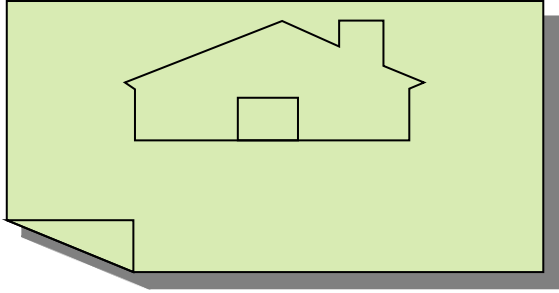
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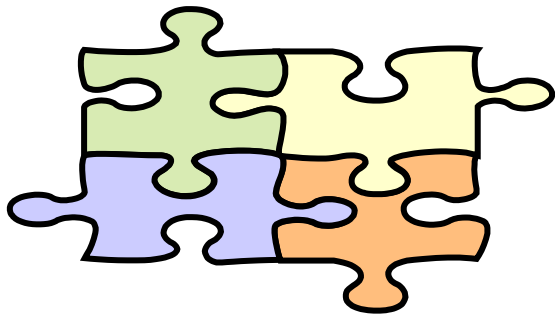
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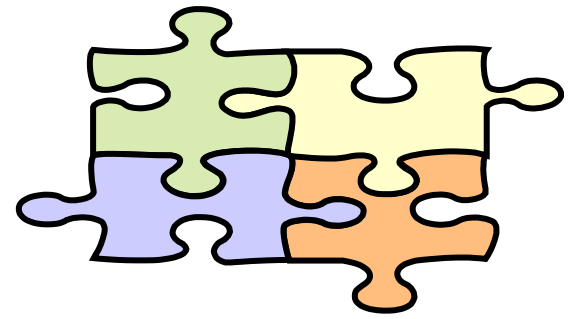


Housekeeping

- o Please turn cell phones off
- o Water/Restrooms
- o Sign-in Sheet
- o Break



Agenda



- **Legislative Updates**
- **Case Law**
- **Expenditures**
- **Overview of duties and responsibilities**
- **Offender Reimbursement**
- **Treatment Provider File Review Information**
- **Assessments**
- **Program Data (from TOADS)**
- **Training Report**
- **Questions, Answers and Discussion**



2010 Legislation & Case Law

www.kslegislature.org

House Bill 2226

KCJIS and 4th DUI Treatment

- Increases traffic fines by \$15
- Increase supports KCJIS and DUI treatment
- Effective July 1, 2010
- Signed by Governor

House Bill 2577

Establishing Addictions Counselor Licensure

- Violation is a class B misdemeanor
- Effective July 1, 2010
- Signed by Governor

House Bill 2476

Court Surcharge

- Increases and extends to June 30, 2011 court surcharge that funds personnel
- Increased from \$10 to \$20 on most court docket fees; some increased more
- Adds \$100 docket fee for expungement of adult conviction or juvenile adjudication
- Effective April 15, 2010
- Signed by Governor

House Bill 2581

Probation Supervision Fee Increase

- K.S.A. 21-2610a increases misdemeanor probation supervision fee from \$25 to \$60 and felony probation supervision fee from \$50 to \$120
- Funds state-wide implementation and training for risk needs assessment tool, the Level of Services Inventory
– Revised

House Bill 2581

Probation Supervision Fee Increase

- LSI-R implementation effective January 1, 2011
- Effective July 1, 2010
- Signed by Governor

House Bill 2661

- Recodification of Drug Code (Clean-up)
- Offender registration required for:
 - Possession of meth precursors defined in K. S. A. 21-36a09(a), unless manufacture was for personal use; and
 - Cultivation, distribution, or possession with intent to distribute opiates, opium or narcotics or stimulant designated in K. S. A. 65-4107 (d)(1), (d)(3) or (f)(2) [K. S. A. 21-36a05(a)(1)]
- Effective April 15, 2010, but retroactive
- Signed by Governor

House Bill 2668

- Criminal Code Recodification
- No substantive changes, but clean-up in statute order, codification of case law, and clarification of present language
- Expect new statute numbers for existing crimes
- Effective July 1, 2011
- Signed by Governor

Case Law

- State V. Andelt, No. 98,665, 98,699, Kan. Supr. (October 9, 2009) held that the SB 123 program is mandatory for those who qualify. A district court does not have discretion to sentence an offender otherwise qualifying for a drug abuse treatment program to imprisonment. In this case, offender was on parole when he committed current crime of conviction and district court had sentenced offender to prison.

Senate Bill 123



SB 123 Invoices



- **Please send invoices by EOB Friday, June 25, 2010.**
- The State payment processing system will be down July 1-19.
- A new state-wide financial management system will be implemented July 1, which may impact payment processing during July.
- Expect payment delays due to backlog and process adjustments. Payments for services provided in July should not experience these processing delays.

Thanks for your patience.

THE SB 123 PROGRAM IS SUCCESSFUL!

- Comparisons from FY 2009 to FY 2008
- Revocations to prison are down from approximately 25% for pre-SB 123 possessions to approximately 12% for the SB 123 group
- Prison beds have been reserved for more serious and violent offenders
- SB 123 expenditures, down from \$8,401,903 to \$7,453,052 - a 12% reduction without program reductions
- Invoices were reduced by 12% from 15,241 to 13,450, a reduction of 1,792
- Journal entries for all felony cases in general, fell 350 from 19,141 to 18,791, an approximate 2% reduction

Kansas Sentencing Commission

Expenditure

Overview



- Summary of expenditures for all years
- Total Expenditures per Modalities for FY 2004 through FY 2010 as of March 31st.
- Expenditure Comparison for Treatment by Fiscal Years
- Measuring Changes in Treatment Modality Expenditures vs. Changes in the Number of Unique Offenders
- Review of Modality Usage

Summary Of Expenditure Data (Payment Database, TPPS) November 2003 through March 31, 2010

- Total Offenders (assessment & treatment) 7,840
- Offenders with treatment only..... 6,650
- Total number of invoices paid 68,969
- Avg cost per offender for assessment & treatment
 **treatment up to 18 months* \$6,666
- Avg cost per offender for treatment only
 ** treatment up to 18 months* \$6,479
- Expenditure for assessment & treatment \$44,504,321
- Expenditure for treatment only \$43,084,621
- *Annualized TX & Assessment avg cost per offender*..... \$3,422
- *Annualized TX cost Avg per offender*..... \$3,255

Yearly Changes in Treatment Expenditures

Payments for Treatment Only			
Fiscal Year	Amt Spent	Amt Diff	% Diff
<i>FY 2004</i>	\$ 908,263		
FY 2005	\$ 4,890,175	\$ 3,981,912	438%
FY 2006	\$ 7,599,995	\$ 2,709,820	55%
FY 2007	\$ 8,389,055	\$ 789,060	10%
FY 2008	\$ 8,401,903	\$ 12,848	0.15%
FY 2009	\$ 7,453,052	\$ (948,851)	-11%
All Yrs Tot	\$ 37,642,443		

Yearly Changes from July 1 through March 31

Fiscal Year	Amt Spent	Amt Diff	% Diff
FY 2004	\$ 231,416		
FY 2005	\$ 3,349,187	\$ 3,117,771	1347%
FY 2006	\$ 5,571,883	\$ 2,222,696	66%
FY 2007	\$ 6,305,125	\$ 733,242	13%
FY 2008	\$ 6,525,309	\$ 220,184	3.49%
FY 2009	\$ 5,527,264	\$ (998,045)	-15%
FY 2010	\$ 5,430,923	\$ (96,341)	-2%
All Yrs Tot	\$ 32,941,107		

Expenditures and Expenditure Changes For Treatment

SB 123 Expenditures Each Fiscal Year Ending March 31st & the Amount of Change

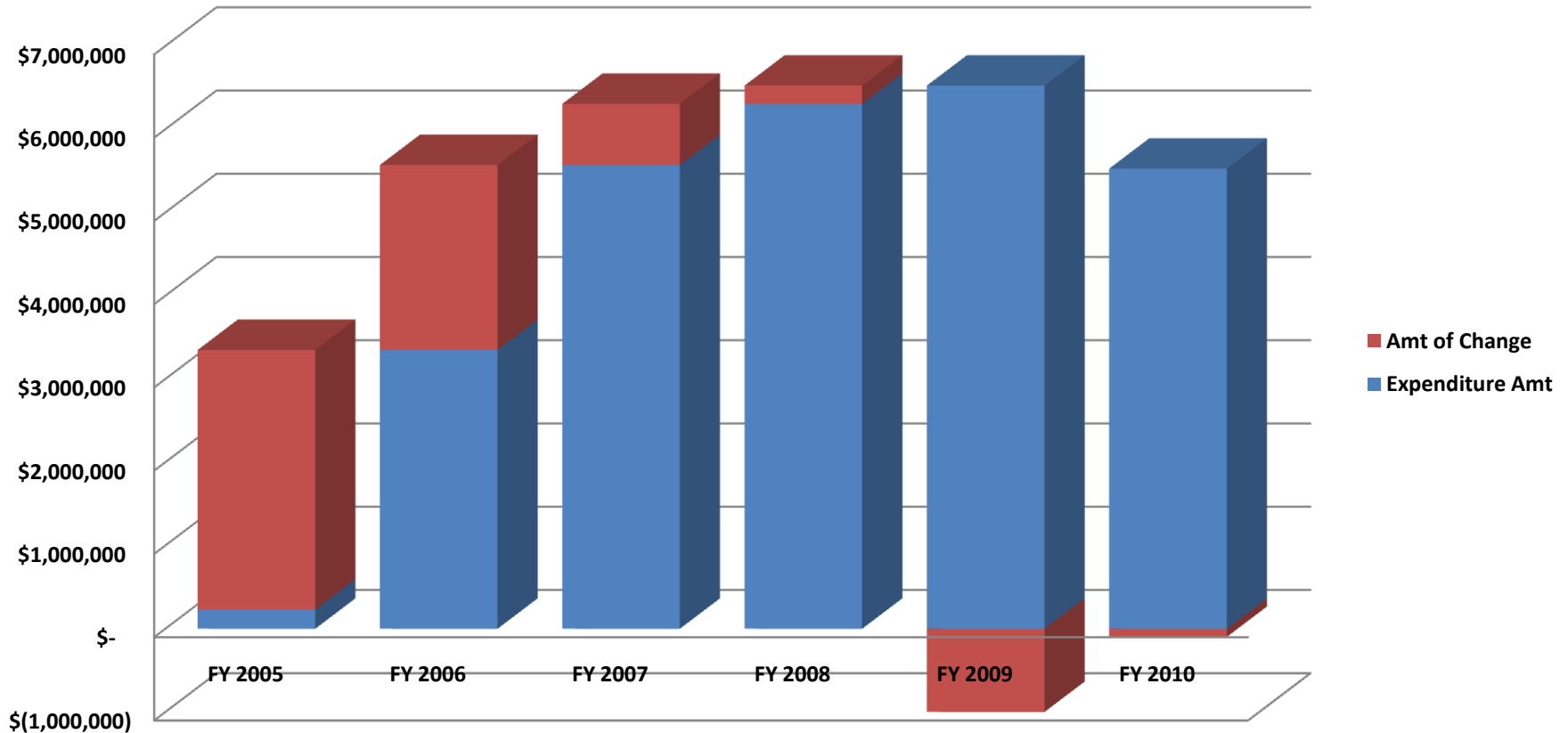
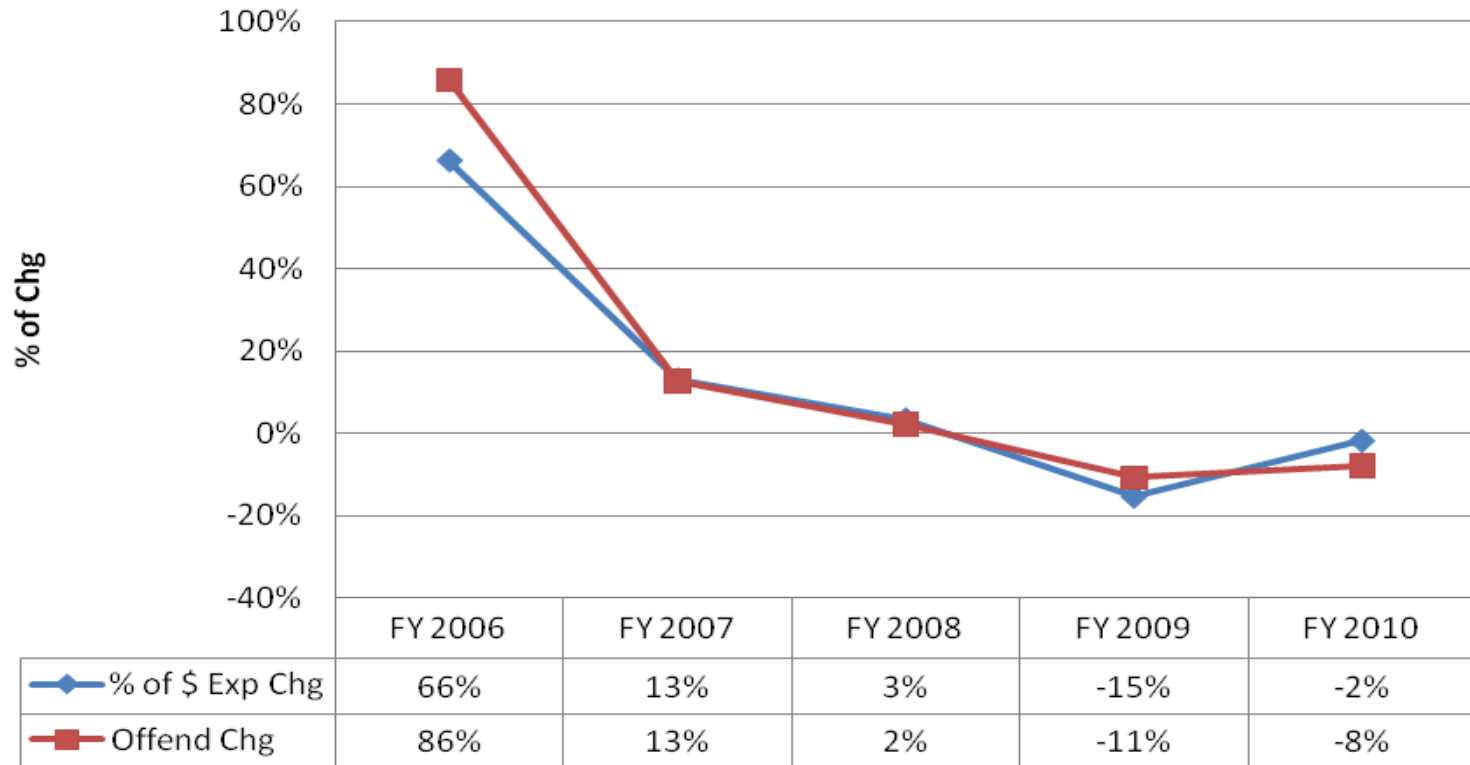


Chart Comparing Percentage Change in the Number of Offenders vs. Costs

Comparing the changes in cost to changes in number of offenders (March 31st)



Change Per Fiscal Year in the Number of Offenders-Treatment Only

FY 2005	941	Difference	
FY 2006	1,749	808	86%
FY 2007	1,971	222	13%
FY 2008	2,017	46	2.33%
FY 2009	1,800	(217)	-11%
FY 2010	1,657	(143)	-8%

Modality Usage and Cost

Review of Modalities from Payment Database

Offender Modality Usage Totals and Usage by Fiscal Years

	Total							FY 2010	FY 2009	Difference
	Offenders	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	9 mos	9 mos	FY10 v FY09
Assessment	7,326	487	1,166	1,381	1,347	1,272	1,130	778	855	-77
Post Discharge Assessment - 3rd ASI	358		9	76	95	88	48	44	33	11
Social Detox	323	3	41	40	77	70	78	33	57	-24
Therapeutic Community	72		0	26	16	20	17	11	17	-6
Intermediate/Residential	2,706	88	408	636	619	563	494	406	392	14
Re-Integration	1161	21	157	295	286	284	216	186	178	8
Day Treatment	21		15	7				0	0	0
Intensive Outpatient	2,358	63	345	538	648	599	552	435	433	2
Outpatient - Individual	4,730	182	868	1,209	1,181	1,260	1,259	1004	1041	-37
Outpatient - Group	4,824	172	796	1,075	1,215	1,326	1,262	1014	1038	-24
Outpatient - Family	146	1	37	60	30	19	3	5	2	3
Relapse Prevention/ Continuing Care	3,078	21	338	776	892	888	763	473	619	-146

* FY 2010 only for 9 mos & 9 mos FY09
for comparison

The total number does not necessarily equal the sum of each year

Expenditures Per Modality as of March 31, 2010

Modality	Total Payment	% Cost
Post Discharge Assessment - 3rd ASI	\$ 35,700.00	0.1%
Outpatient - Family	\$ 32,590.00	0.1%
Day Treatment	\$ 51,415.00	0.1%
Social Detox	\$ 275,030.00	0.6%
Relapse Prevention	\$ 960,409.00	2.2%
Assessment	\$ 1,419,700.00	3.2%
Therapeutic Community	\$ 1,441,650.00	3.2%
Re-integration	\$ 3,251,462.00	7.3%
Outpatient - Individual	\$ 3,498,979.00	7.9%
Outpatient - Group	\$ 5,658,538.00	12.7%
Intensive Outpatient	\$ 9,936,261.00	22.3%
Intermediate Residential	\$ 17,942,587.00	40.3%
Total	\$ 44,504,321.00	100%



Overview of Duties and Responsibilities

Treatment Providers Requirements

- Treatment providers are required to obtain KDOC certification. (see Chapter 5 of the *2003 SB 123 Operation Manual*).
- Kansas state licensing or certification requirements needed to provide drug and alcohol abuse treatment.
- All counselors must be under a licensed provider.

Treatment Providers Requirements (cont.)

- The substance abuse treatment counselor shall be a co-participant with the supervising Community Corrections Officer (includes Team Meetings)
- Apply for and collect insurance when applicable
- Certified drug abuse treatment providers are identifiable through the Total Offender Activity Documentation System (TOADS)

Responsibilities of Kansas Department of Corrections and Community Corrections

- Initiation and monitoring of contracts with treatment/assessment providers
- Supervision of offenders
- Team meetings
- Intervention information in TOADS
- Approval and Submission of Invoices
- Certify treatment providers (DOC)
- Monitor offender reimbursement

Responsibilities of KSC are to:

- Provide a centralized payment system
- Process invoices for payment
- Enter and track all expenditures and receipts
- Serve as a resource to stakeholders
- Prepare budget and track funding allocations and balances
- Notify stakeholders of revisions or changes to program policies or the manual
- Conduct required evaluations

Invoice Submission

- **Treatment providers send signed invoices to Community Corrections for approval, signatures of supervising officer and community corrections officer.**
- **Community corrections then sends approved and signed invoice to KSC.**

Invoice Submission (continued)

- **KSC verifies that modality, case information and demographic data has been entered in TOADS through the data system and that the dates match.**
- **KSC verifies that the cost is within cost caps and provider agreements.**

Invoice Submission (continued)

- **KSC reviews each invoice for complete information and correct calculations.**
- **KSC must reject invoices with incomplete or incorrect information.**

OFFENDER REIMBURSEMENT AND INSURANCE RECEIPTS

Community Corrections Summary

Insurance Receipt Summary

Offender Reimbursements

- Court should order reimbursement as part of sentence
- Included in the journal entry of sentencing:
(Page 3, Section V, Costs Ordered):
SB 123 Offender Reimbursement (at least \$300)
\$ _____
- Judges have been notified of this recommendation

To view the report- www.kansas.gov/ksc

SB123 section:

[Report of the Subcommittee on Offender Reimbursement for Assessment and Treatment Pursuant to 2003 SB 123 to the 2010 House Committee on Corrections and Juvenile Justice](#)

Offender Reimbursements

- Court/community corrections determine the reimbursement amount.
- Forward Offender Reimbursements on to the Kansas Sentencing Commission.
- Money orders from the offenders or checks from Community Corrections or courts are preferred.
- Submit with a completed Offender Reimbursement form.

**OFFENDER REIMBURSEMENT REMITTANCE FORM
2003-SB 123**

This form is to accompany ALL remittance whether by Community Corrections or directly from individual

**Mail to: Kansas Sentencing Commission
700 SW Jackson, Ste 501
Topeka, KS 66603**

Community Correction Agency Name: _____
If payment is submitted by the Community Correction Agency

Name of Offender: _____
If payment is submitted directly by offender

Total Amount Paid: _____ **Date:** _____ (mm/dd/yyyy)

Payment Information:

SB 123 Client Name		KDOC Number	Court Case Number	County	Community Corrections	Amount Paid
Last Name	First					
Name						

Enter the information for one individual or several as applies.

Comments:

SB 123 Offender Reimbursements vs. TX Expenditures

FY-July-May	# Offender Paying	Amt Reimb	FY-July-May	# Offenders w/TX	Payment May
FY06	232	\$ 44,510	FY06	1950	\$ 6,910,391
FY 07	260	\$ 56,688	FY 07	2179	\$ 7,671,845
FY 08	272	\$ 60,214	FY 08	2208	\$ 7,849,553
FY09	314	\$ 69,394	FY09	1984	\$ 6,826,314
FY10	404	\$ 90,920	FY10	1852	\$ 6,034,348
Total Reimbursements	1482	\$ 321,725	Total TX Payments	10173	\$ 35,292,451

Offender Reimbursement by Community Corrections FY 2010-to May 31, 2010

# Community Corrections Agencies	25	6	31
Expenditure Amounts	\$4,727,784	\$1,473,261	\$6,201,045
Reimbursement Amount Received	\$90,599	\$0	\$90,599
Adjusted Expenditures	\$4,637,185	\$1,473,261	\$6,110,446
Total # Offender Paying Reimb (1499 Total offend)	409	0	27%

Offender Reimbursement by Community Corrections

Offender Reimbursement for FY 2009 Totals

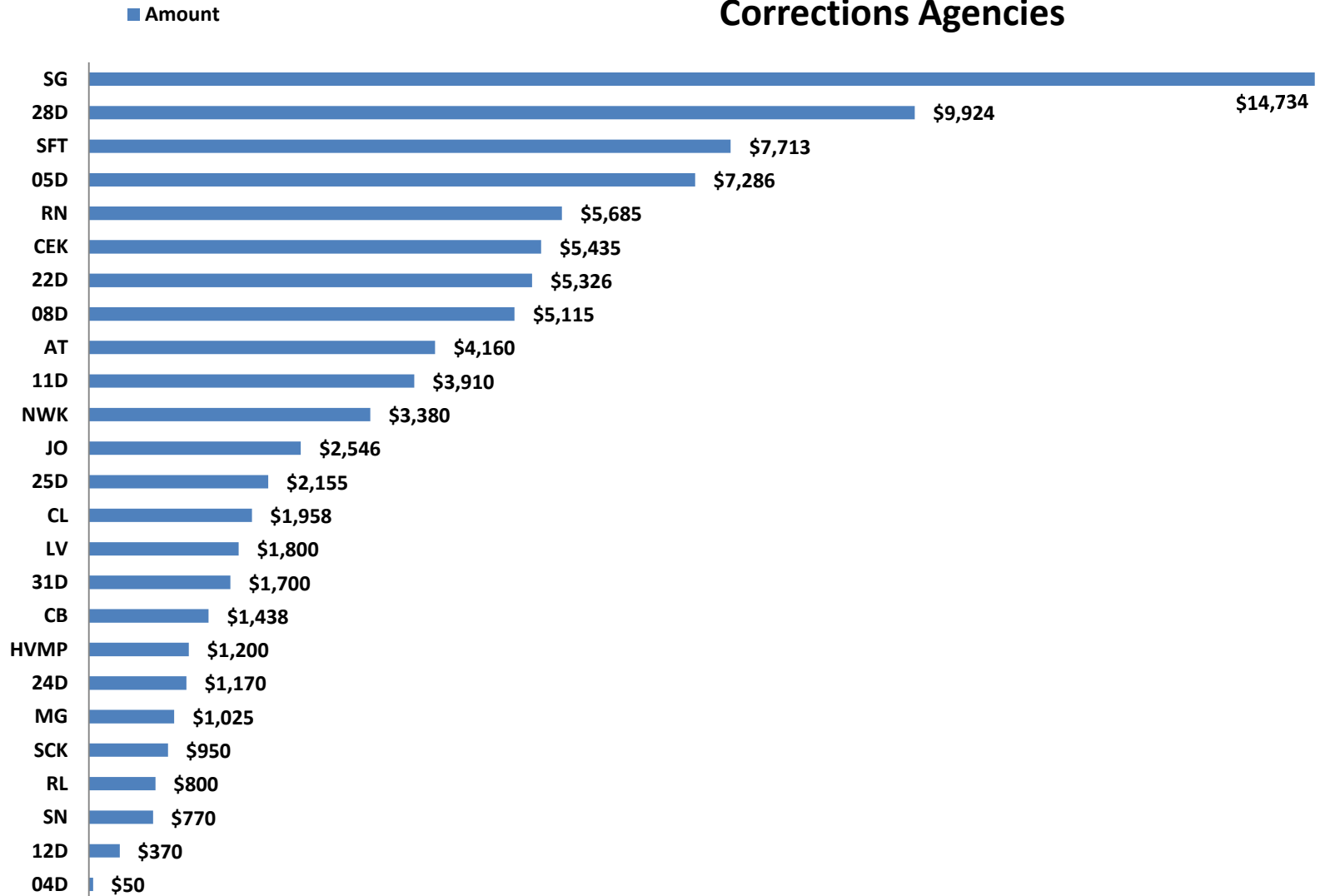
Offender Reimbursement Totals for FY 2009	Payments	No Payments	Total
# Community Corrections Agencies	22	9	31
Expenditure Amounts	\$6,192,341	\$1,473,261	\$7,665,602
Reimbursement Amount Received	\$74,464	\$0	\$74,464
Adjusted Expenditures	\$6,117,877	\$1,473,261	\$7,591,138
Total # Offenders FY 2009 was 2,401	339	0	14%

Offender Reimbursement by Community Corrections

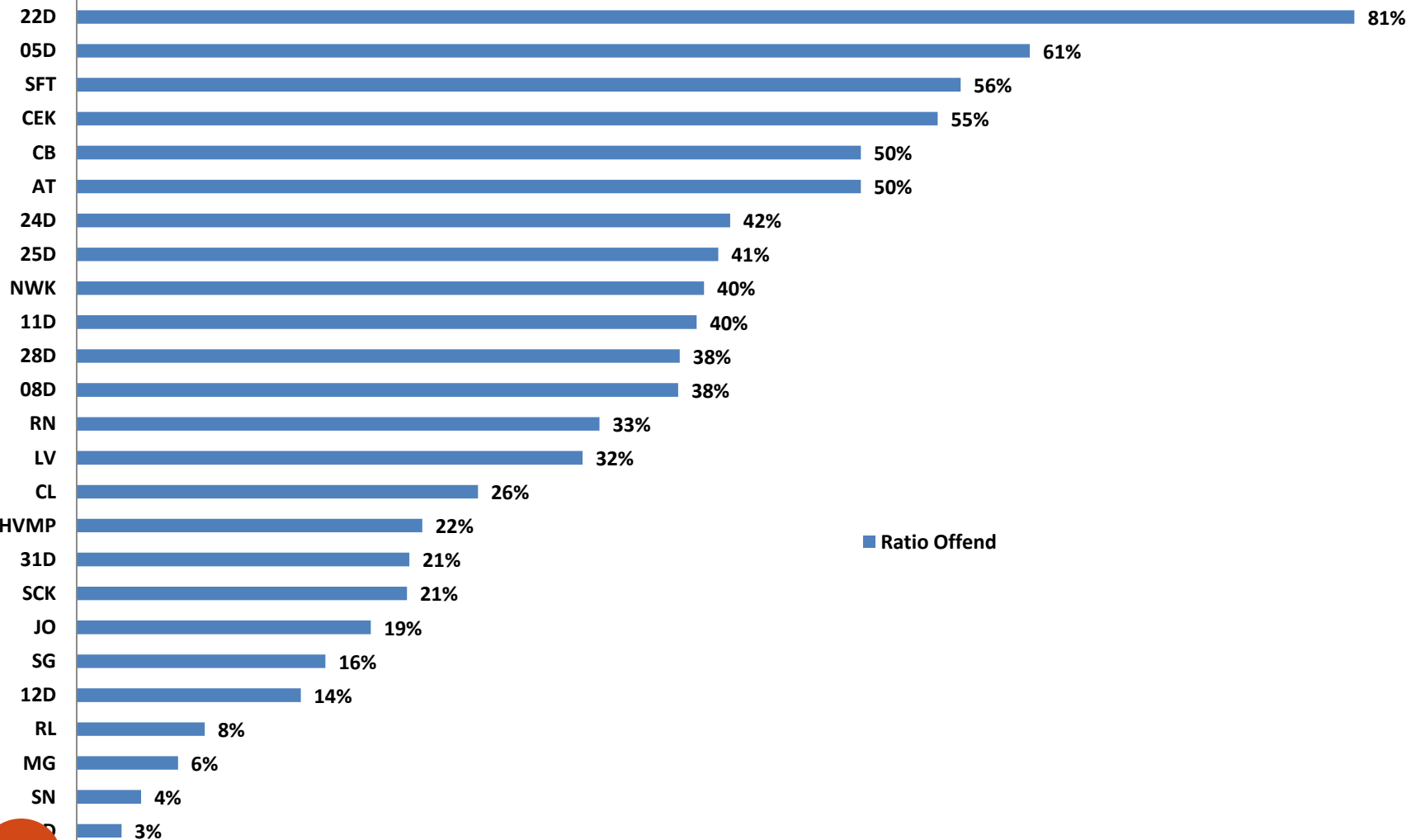
CC Code	Offender Reimb	Amount	Offenders w/TX	Expenditures	Ratio Offenders	Ratio Costs	Avg cost TX
04D	1	\$ 50	35	\$ 62,155	3%	0.1%	\$ 1,775.84
12D	2	\$ 370	14	\$ 81,415	14%	0.5%	\$ 5,815.39
SN	3	\$ 770	73	\$ 235,313	4%	0.3%	\$ 3,223.46
RL	4	\$ 800	49	\$ 106,385	8%	0.8%	\$ 2,171.12
SCK	4	\$ 950	19	\$ 63,716	21%	1.5%	\$ 3,353.45
MG	4	\$ 1,025	62	\$ 113,370	6%	0.9%	\$ 1,828.55
24D	5	\$ 1,170	12	\$ 61,138	42%	1.9%	\$ 5,094.83
HVMP	13	\$ 1,200	59	\$ 134,415	22%	0.9%	\$ 2,278.21
CB	14	\$ 1,438	28	\$ 25,910	50%	5.5%	\$ 925.36
31D	7	\$ 1,700	33	\$ 127,611	21%	1.3%	\$ 3,866.98
LV	10	\$ 1,800	31	\$ 63,755	32%	2.8%	\$ 2,056.61
CL	11	\$ 1,958	43	\$ 126,849	26%	1.5%	\$ 2,949.98
25D	9	\$ 2,155	22	\$ 50,695	41%	4.3%	\$ 2,304.32
JO	21	\$ 2,546	112	\$ 296,218	19%	0.9%	\$ 2,644.80
NWK	12	\$ 3,380	30	\$ 70,577	40%	4.8%	\$ 2,352.57
11D	17	\$ 3,910	43	\$ 136,764	40%	2.9%	\$ 3,180.56
AT	8	\$ 4,160	16	\$ 49,047	50%	8.5%	\$ 3,065.44
08D	28	\$ 5,115	73	\$ 257,037	38%	2.0%	\$ 3,521.05
22D	22	\$ 5,326	27	\$ 154,118	81%	3.5%	\$ 5,708.06
CEK	28	\$ 5,435	51	\$ 220,513	55%	2.5%	\$ 4,323.78
RN	22	\$ 5,685	66	\$ 350,314	33%	1.6%	\$ 5,307.80
05D	31	\$ 7,286	51	\$ 137,431	61%	5.3%	\$ 2,694.72
SFT	31	\$ 7,713	55	\$ 160,062	56%	4.8%	\$ 2,910.22
28D	40	\$ 9,924	104	\$ 487,070	38%	2.0%	\$ 4,683.36
SG	62	\$ 14,734	391	\$ 1,155,910	16%	1.3%	\$ 2,956.29

JE as of		Community Corrections Agency with No Reported Offender				
5/31/2010		Reimbursement				
Reimb order		Amount	Offender	Expenditures	Avg cost Tx	
vs. # JE		Collected	w/Tx	for TX		
1/5	02D	\$ -	25	\$ 54,772.50	\$ 2,190.90	
4/11	06D	\$ -	24	\$ 39,932.50	\$ 1,663.85	
6/17	13D	\$ -	37	\$ 240,965.00	\$ 6,512.57	
0/7	DG	\$ -	37	\$ 136,575.00	\$ 3,691.22	
4/5	SU	\$ -	7	\$ 20,775.00	\$ 2,967.86	
10/80	Unified CC	\$ -	218	\$ 771,749.75	\$ 3,540.14	
	Total		348	\$ 1,264,769.75		

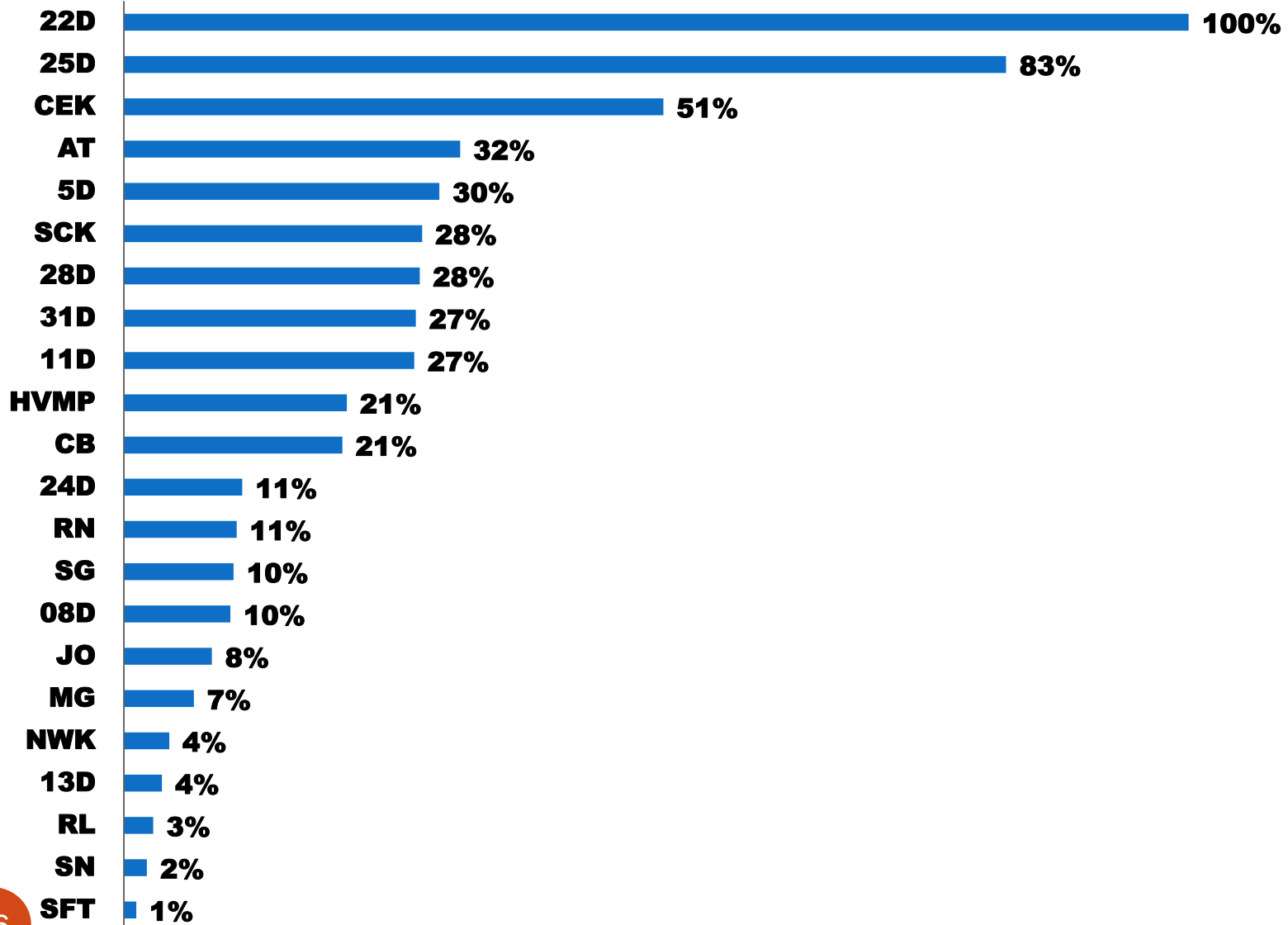
123 Offender Reimbursement Amounts FY 2010 by Community Corrections Agencies



Ratio of SB 123 Offender Pool to the Number Paying Reimbursements by Community Corrections Agency



% of Offenders Paying Reimbursement for FY 2009



SB 123 Insurance Receipts

Source TPPS Database	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
Number of Unique Offenders	3	42	80	67	70	96	94
% of growth/chg in offenders		13%	90%	-16%	10 %	37%	N/A
\$amt per offender	\$4,609	\$2,927	\$1,989	\$2,172	\$2,330	\$3,266	\$2,914
\$ amt received	\$13,828	\$122,946	\$159,144	\$145,511	\$163,086	\$313,502	\$273,954*
% change in amt received		789%	29%	-9%	12%	92%	N/A

Treatment Provider File Reviews Information

Service Utilization File Review

Service dates for this review:

- June 2007-November 2009

Review specifics:

- Number of Providers 41
- Number of Offenders 48
- Number of Records 68

SB 123 File Review Results to Date

Assessment

- 98% of the records reviewed show that assessment information supported the diagnosis through citation of criteria and supported the level of care.

Treatment Plan

- 95% of the records reviewed shows that the treatment plans reflect issues/problems identified and that the plan was updated at appropriate intervals.

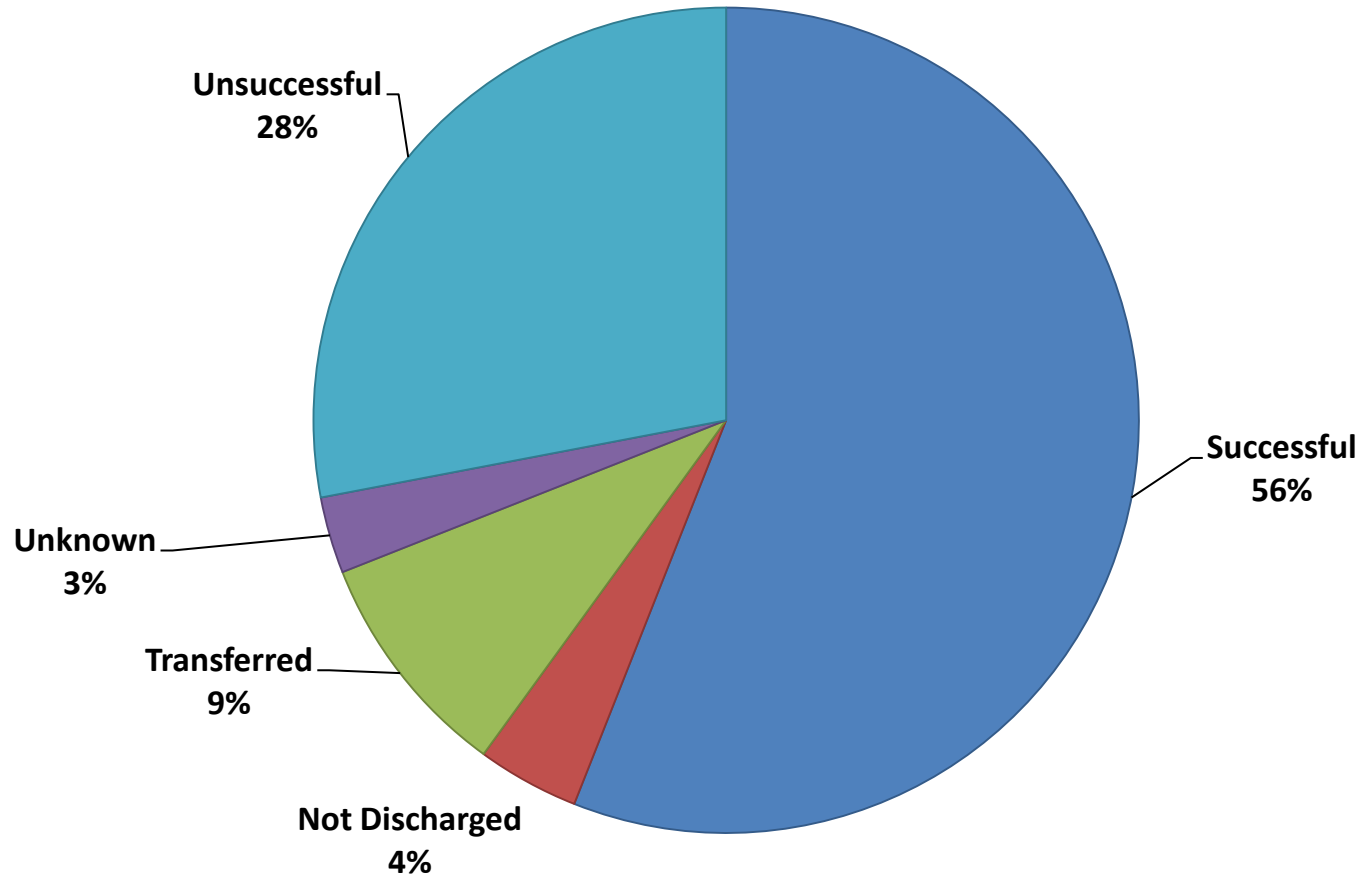
Program Content

- **93% of clients received the appropriate amount of clinical services for the level of care.**
- **87% of the providers documented coordination of care with offender's ISO.**
- **100% of files reviewed show that the documentation justifies ongoing treatment at the specified level of care.**
- **94% of the files show that mental health issues were addressed during the course of treatment. (52%) of offenders were identified with mental health issues.**
- **77% of the files show documentation of Team Meetings.**

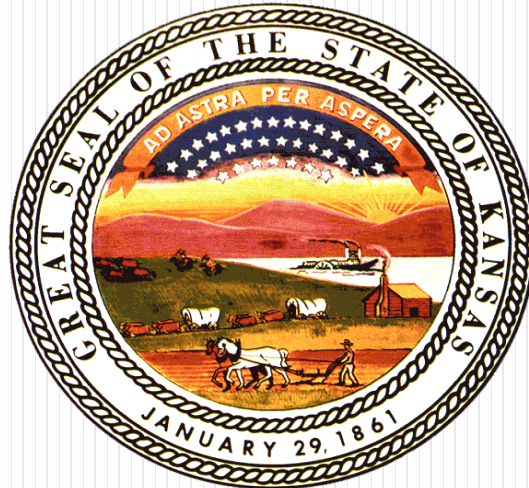
Discharge Planning:

- **84% of the files had formulated a discharge plan.**
- **98% of the files addressed relapse prevention.**
- **98% of files reviewed addressed the need for follow-up services.**

Discharge Type



KSC Summary of SB 123 Offenders Received Assessments



Based on Assessment Reports Received as of
March 31, 2010

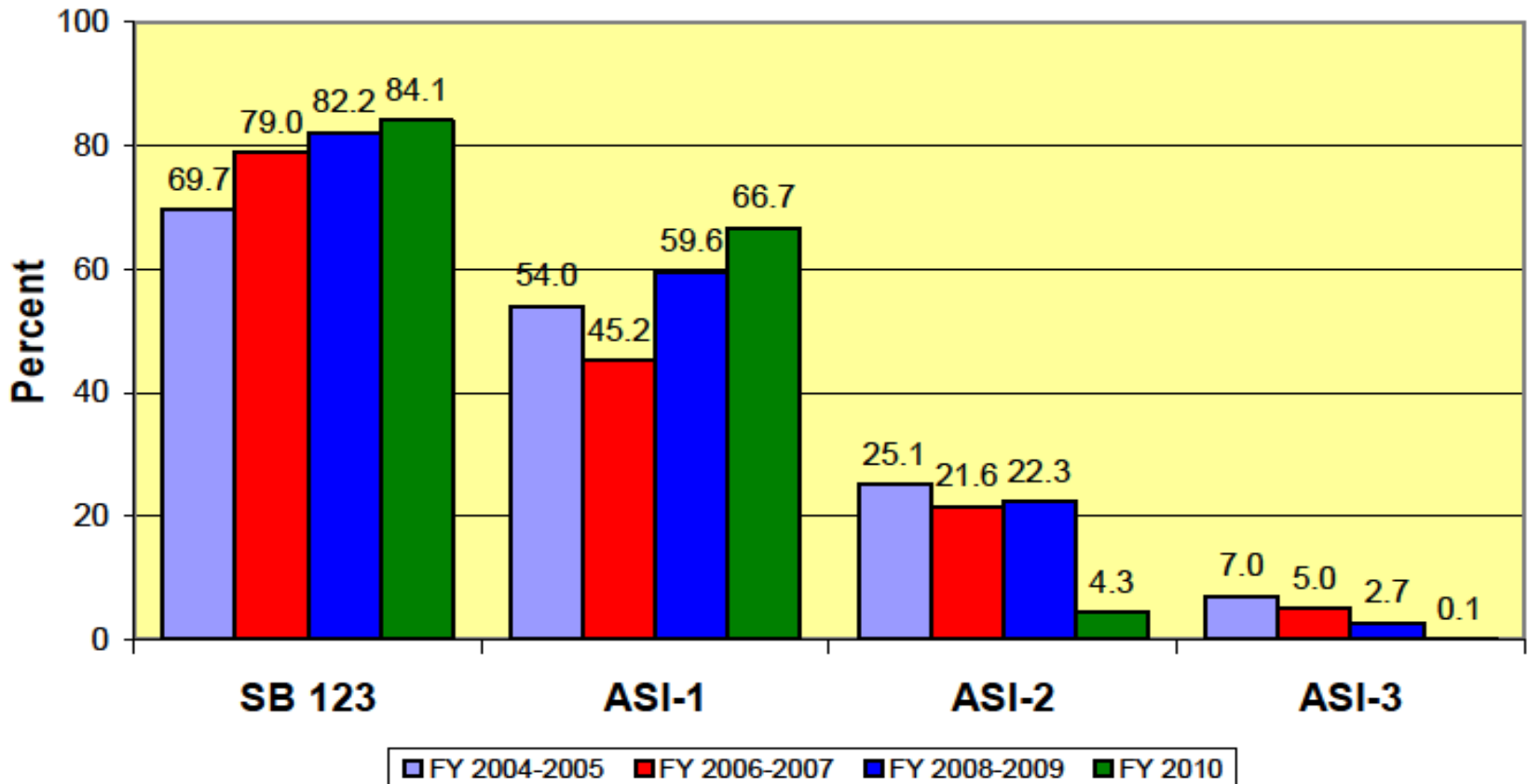
Assessments

- Assessments required by SB 123
 - Level of Services Inventory – Revised LSI-R
 - Pre-sentence
 - Re-assessment
 - Discharge
 - SB 123 Assessment
 - Pre-Sentence
 - ASI
 - 1st ASI within 30 days start of treatment
 - 2nd ASI at treatment discharge
 - 3rd ASI at least 6 months after discharge

SB 123 Assessment Reports

- As of March 31, 2010, KSC received SB 123 assessment reports of 7,570 offenders, among which,
 - 32 (0.4%) were from FY 2004,
 - 1,390 (18.4%) were from FY 2005,
 - 1,546 (20.4%) were from FY 2006,
 - 1,317 (17.4%) were from FY 2007,
 - 1,305 (17.2%) were from FY 2008,
 - 1,164 (15.4%) were from FY 2009 and
 - 816 (10.8%) were from FY 2010.

SB 123 Offenders Received Assessments By Assessment Type



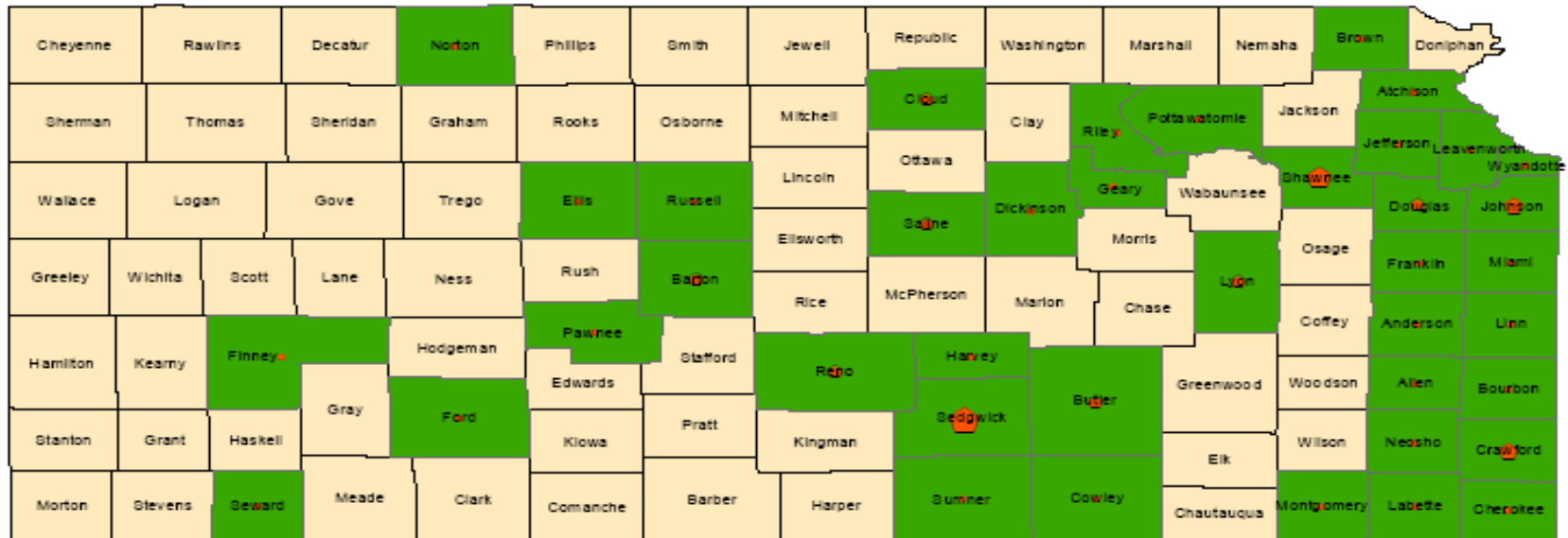
SB 123 Offenders Received Assessments by Community Correction - 1

Community Corrections	FY 04-05	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	Total
2nd Judicial District C.C.	10	11	11	13	4	3	52
4th Judicial District C.C.	64	43	45	28	30	16	226
5th Judicial District C.C.	58	43	29	25	18	12	185
6th Judicial District C.C.	9	27	15	15	10	10	86
8th Judicial District C.C.	63	83	76	64	60	34	380
11th Judicial District C. C.	60	33	25	32	26	15	191
12th Judicial District C. C.	2	12	1	0	11	1	27
13th Judicial District C.C.	20	37	23	17	27	20	144
22nd Judicial District C.C.	28	19	22	17	17	10	113
24th Judicial District C C.	31	6	8	13	4	5	67
25th Judicial District C.C.	33	21	25	38	21	12	150
28th Judicial District C.C.	108	106	71	64	59	48	456
31st Judicial District C.C.	47	23	38	48	22	16	194
Atchison County C.C.	22	42	19	11	12	12	118
Cimarron Basin Authority C.C.	19	24	13	15	17	15	103
Central Kansas C.C.	36	35	34	28	37	20	190
Cowley County C.C.	39	62	20	27	22	14	184

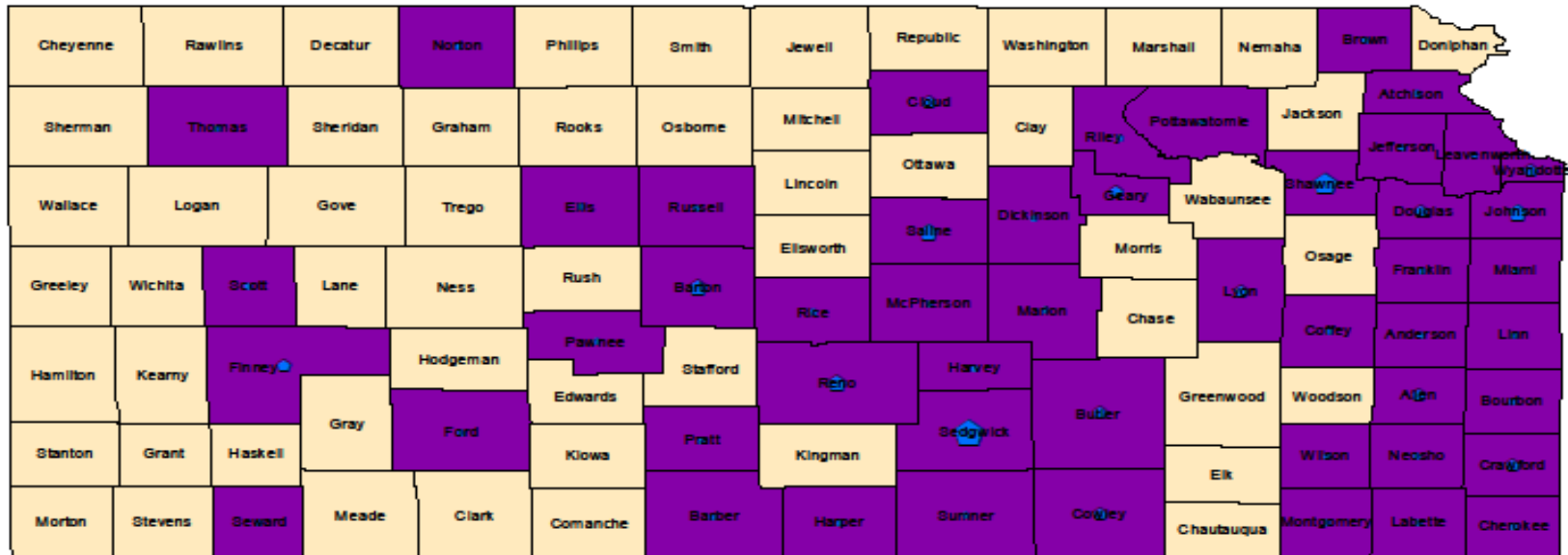
SB 123 Offenders Received Assessments by Community Correction - 2

Community Corrections	FY 04-05	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	Total
Douglas County C.C.	6	14	22	7	9	9	67
Harvey/McPherson County C.C.	70	52	55	52	40	28	297
Johnson County C.C.	178	156	159	134	101	54	782
Leavenworth County C.C.	11	10	19	35	21	13	109
Montgomery County C.C.	33	33	25	24	28	22	165
Northwest Kansas C.C.	41	29	19	31	22	11	153
Riley County C.C.	24	29	25	38	35	22	173
Reno County C.C.	78	80	41	52	52	31	334
South Central Kansas C.C.	9	28	12	11	16	12	88
Santa Fe Trail C.C.	32	47	51	49	45	14	238
Sedgwick County C.C.	153	231	186	184	189	207	1150
Shawnee County C.C.	71	111	95	92	59	39	467
Sumner County C.C.	0	9	10	7	4	4	34
Unified Government C.C.	67	90	123	134	146	87	647
Total	1422	1,546	1,317	1,305	1,164	816	7,570

SB 123 Treatment Providers Approved by KDOC as of June 30, 2005



SB 123 Treatment Providers Approved by KDOC as of March 31, 2010



Providers

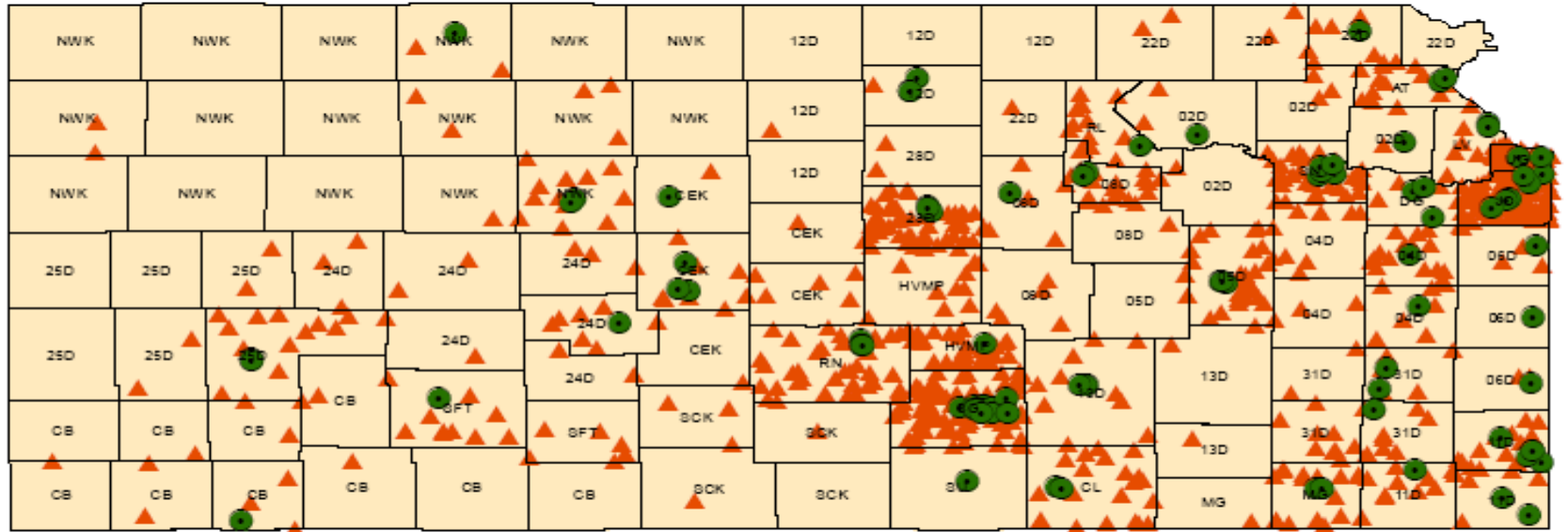
To FY 10

- 1 - 2
- 3 - 5
- 6 - 9
- 10 - 20
- 21 - 32

As of March 31, 2010, 156 SB 123 treatment providers had been approved by KDOC.



SB 123 Offenders Received Assessments and Treatment Providers By Community Correction As of June 30, 2005

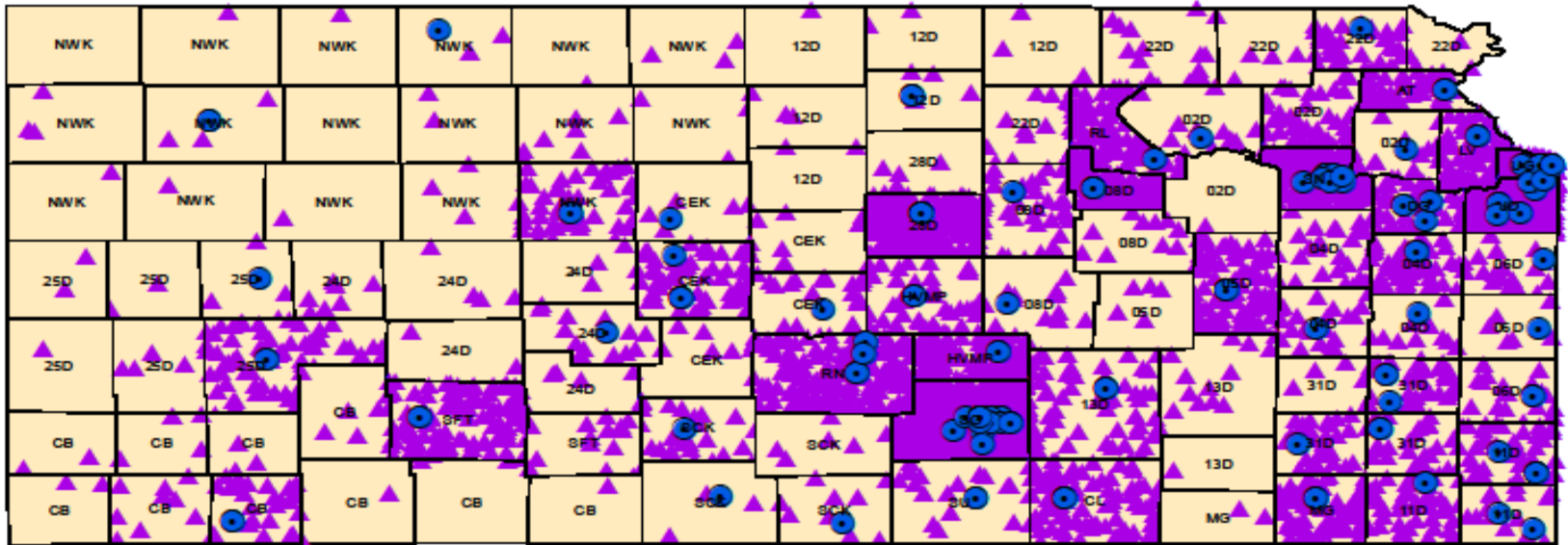





- Providers
- 1 Dot = 2
- ▲ ToFY05



Note: As of June 30, 2005 KSC had received assessment reports of 1,422 offenders and 95 drug treatment providers had been approved by KDOC.

SB 123 Offenders Received Assessments and Treatment Providers By Community Correction As of March 31, 2010



-  Providers
-  1 Dot = 2
-  ToFY10



Note: As of March 31, 2010 KSC had received assessment reports of 7,570 offenders and 156 drug treatment providers had been approved by KDOC.

2003-SB 123 Assessment Pre-Sentence

SB 123 Assessment Components

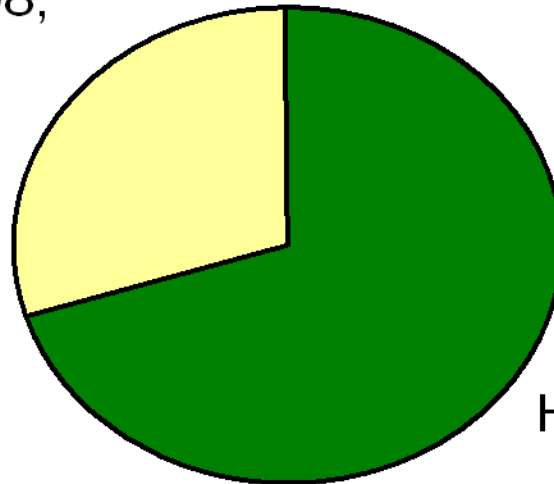
- SB 123 Summary Form
- SASSI
- Psychiatric Screening of the ASI
- Social History
- All the above documents must accompany the invoice for payment of the SB 123 Assessment

Substance Abuse Subtle Screening Inventory (SASSI)

SASSI III: Probability of Having a Substance Dependence Disorder

SASSI III Probability

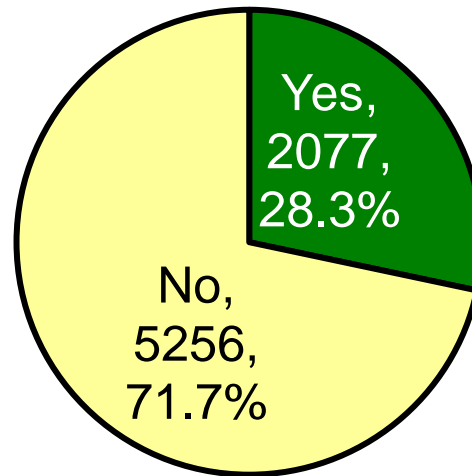
Low , 2198,
29.9%



High, 5145,
70.1%

Mental Health Screen Referral

Mental Health Screen Referral



SASSI Profile Scores*

Description	Number	Number of Zero Score	Minimum	Maximum	Mean
Face Valid Alcohol	7316	1256	0	69	5.7
Face Valid Other Drugs	7317	253	0	84	12.7
Symptoms	7315	455	0	84	4.7
Obvious Attributes	7315	23	0	75	5.8
Subtle Attributes	7314	35	0	62	4.0
Defensiveness	7312	76	0	68	5.6
Supplemental Addiction Measure	7311	4	0	80	8.1
Family vs. Controls	7210	10	0	70	8.1
Correctional	7208	42	0	72	6.6

* High scores indicate problems.

Initial Treatment Recommendations

July 1, 2007 to March 31, 2010

Modality	Number
Social Detoxification	14
Therapeutic Community	45
Intermediate Residential	448
Day Treatment	1
Intensive Outpatient	878
Outpatient - Individual	1540
Outpatient - Group	1726
Outpatient - Family	128
Re-Integration/Halfway House Extended Stay	136
Relapse Prevention/Aftercare	1144
Drug Abuse Education	203

Addiction Severity Index 5th Edition (ASI) Information

SB 123 Assessment Procedures

- ASI's are administered (send ALL to the KSC):
 - 1st ASI (All portions of ASI)
 - Within 30 Days of Treatment Start Date
 - Paid at the rate of the current modality
 - 2nd at Discharge from Treatment:
 - Paid at the rate of the current modality
 - 3rd Post-Sentencing at least 6 months after discharge
 - Paid \$100 and submit with accompanying documentation

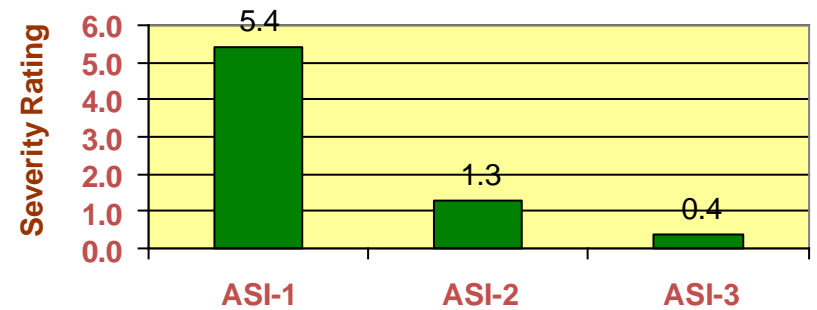
Comparison of the Average Severity Ratings of Three ASI's by Problem

- Paired Samples T test is applied to test the average severity rating differences in each problem area.
- The tests indicate that most of the differences are statistically significant at the significance value less than 0.05.
- These results statistically support the purpose of SB 123 treatment programs.

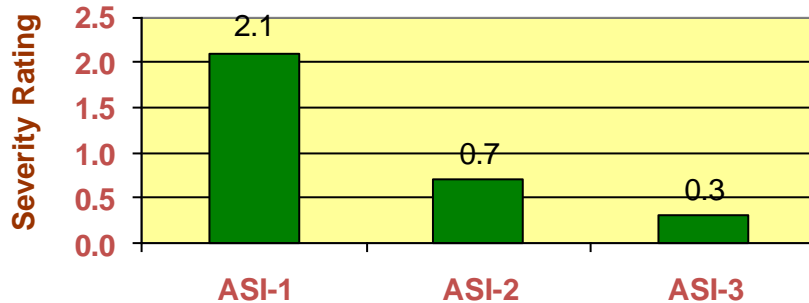
Comparison of ASI Problems Alcohol



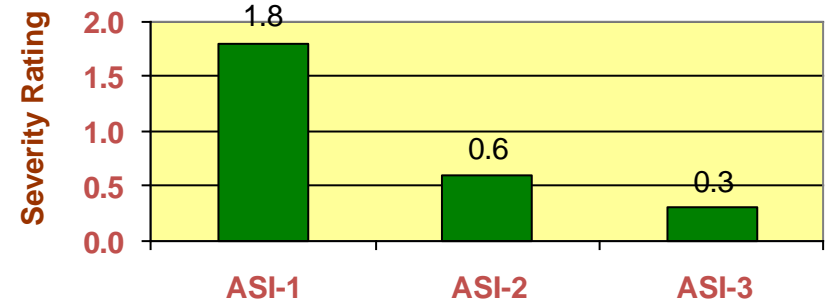
Comparison of ASI Problems Drug



Comparison of ASI Problems Legal



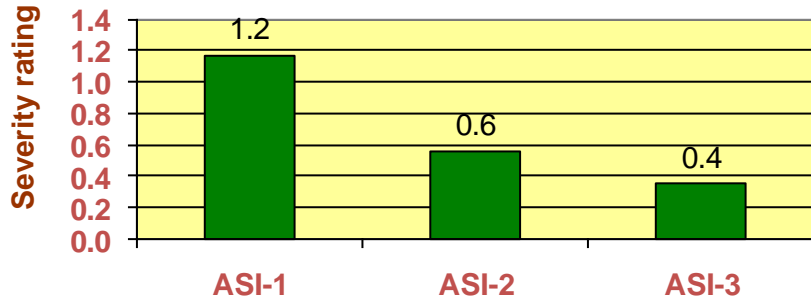
Comparison of ASI Problems Employment/Support



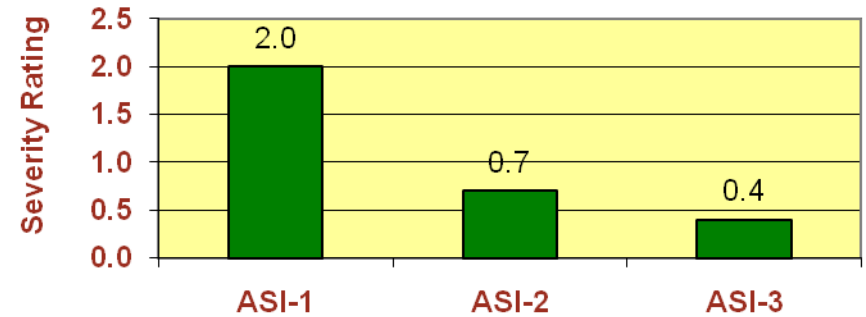
Comparison of ASI Problems Family/Social



Comparison of ASI Problems Medical



Comparison of ASI Problems Psychiatric



ASI and SASSI Certification

- ASI and SASSI administrators **MUST BE** certified. Certificates shall be on file at the Kansas Sentencing Commission.

Training

ASI

KSAAP

785-235-2400

www.ksaap.org

SASSI

The SASSI Institute

1-800-697-2774

www.sassi.com/training.htm

LSI-R© Assessments

(through March 31, 2010)

Level of Services Inventory – Revised
Supervision Level
Case Management
Risk of Re-Offense

LSI-R©

- Risk/Need Assessment Instrument
- Identifies;
 - Risk for Re-offending
 - Criminogenic Needs (targets for reducing risk)
- Instrument frequency;
 - Prior to sentencing
 - 6 mo. (or a Significant Event)
 - Discharge

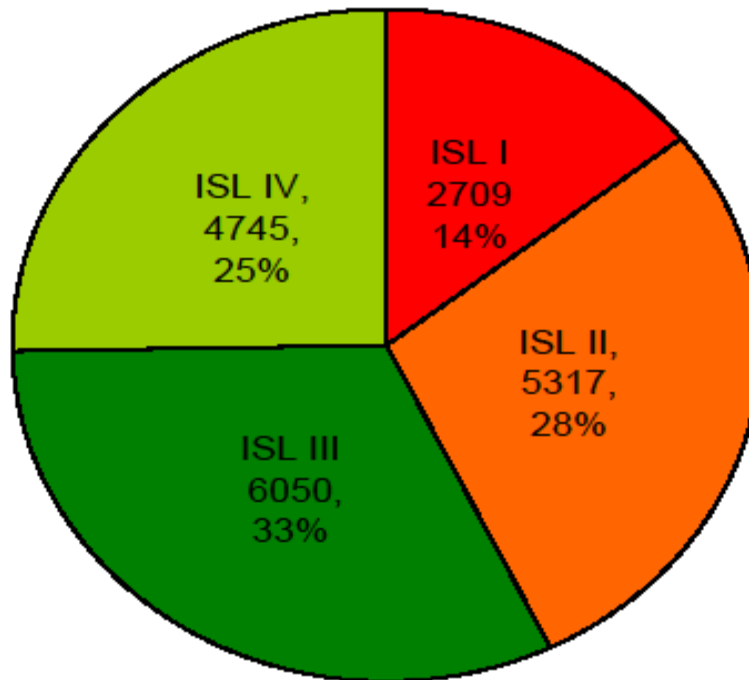
LSI-R © Assessment

- As of March 31, 2010, The LSI-R has been administered to 7,728 SB 123 offenders. 5,780 offenders have been assessed 2 or more times.
- The assessments include
 - 7,798 (41.4%) Re-assessments and
 - 3,250 (17.3%) Discharge LSI-R's.
 - 7,773 (41.3%) Pre-sentence/Initial LSI-R's

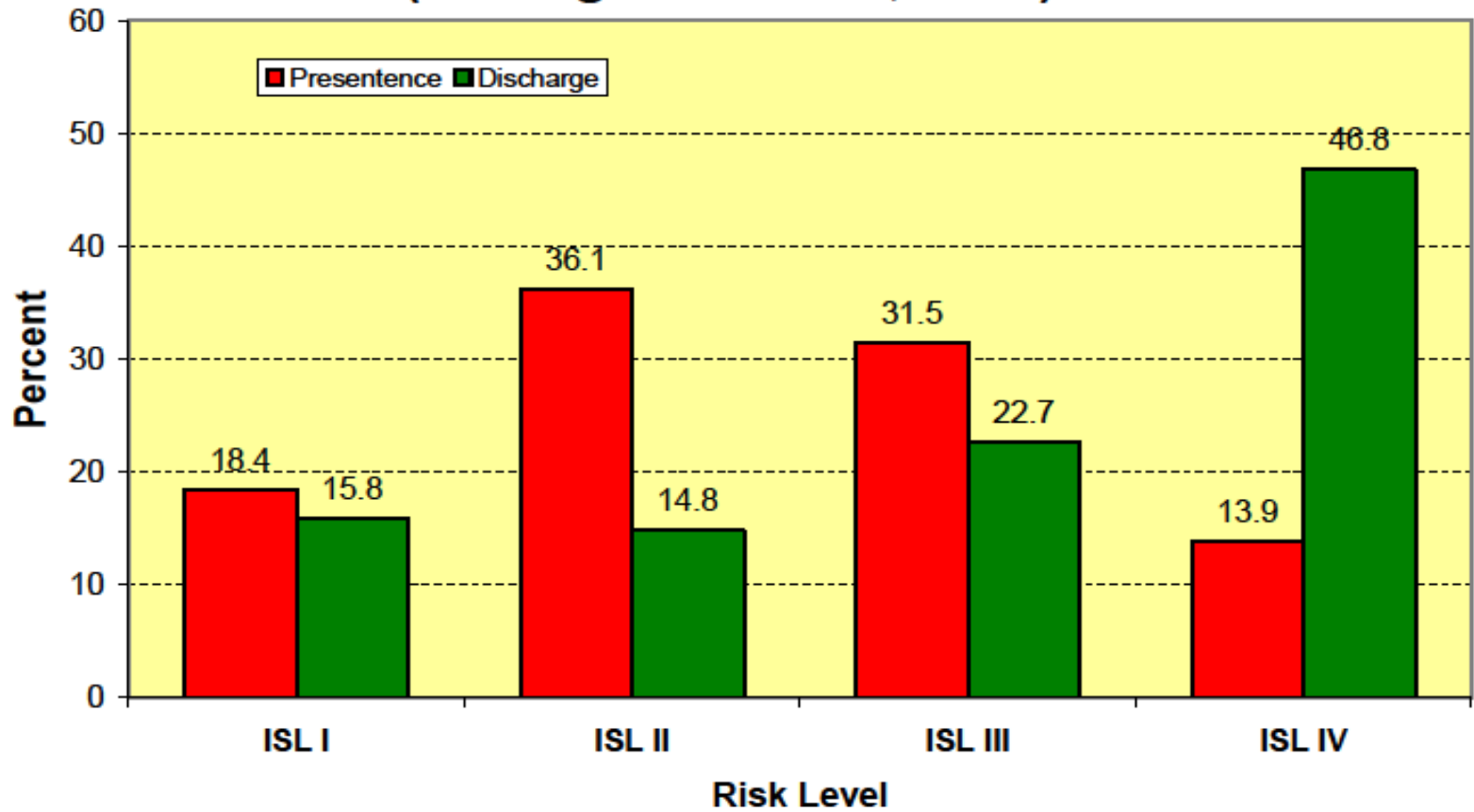
LSI-R © Assessment (cont.)

- As of March 31, 2010, 18,821 LSI-R's have been administered.
- Level of Risk/Level of Supervision:
 - 2,709 (14.4%) at ISL I (highest)
 - 5,317 (28.3%) at ISL II
 - 6,050 (32.1%) at ISL III
 - 4,745 (25.2%) at ISL IV (lowest)
 - (12 unscored were removed from this analysis)

LSI-R: Level of Supervision - Overall (Through March 31, 2010)



Comparison of LSI-R by Assessment Type (Through March 31, 2010)



Program Data

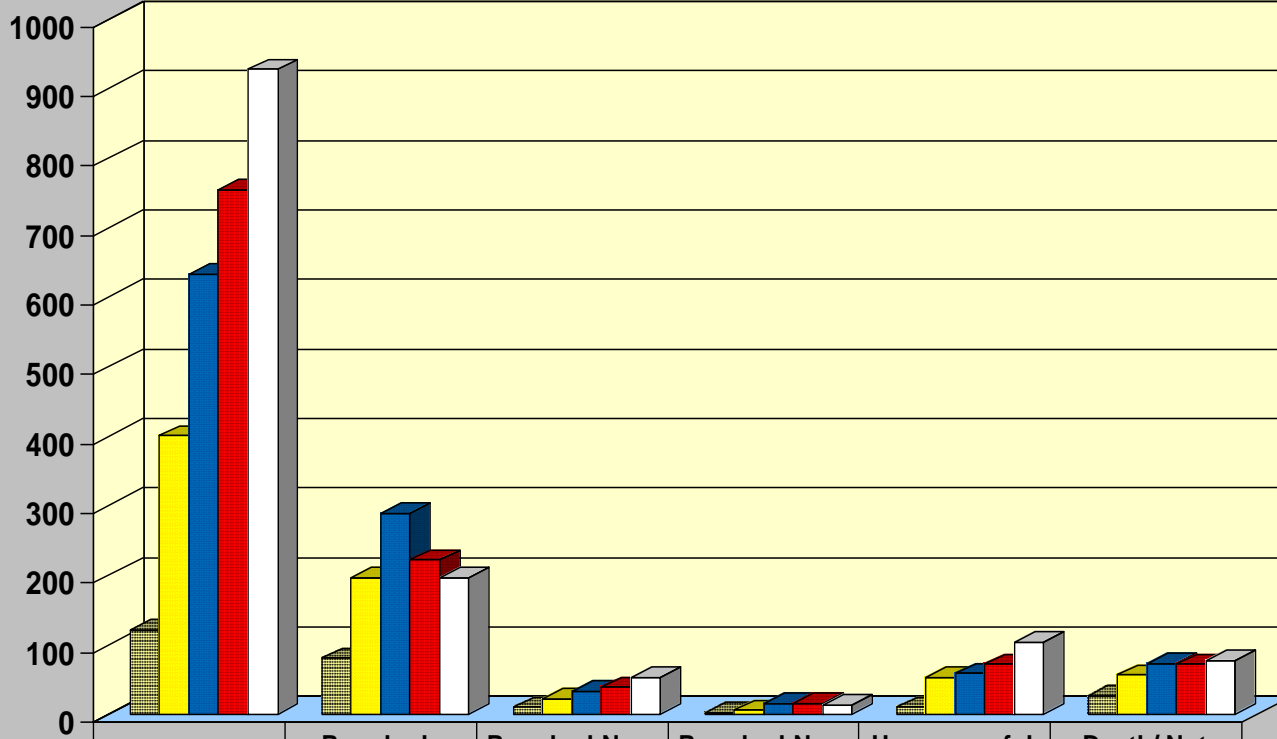
TOADS Database

Sentencing Activity: SB 123 Court Cases Program Inception – March 31, 2010

8,361 offenders sentenced in a total of 10,996 SB 123 Cases.

1,882 offenders have 2 or more SB 123 cases.

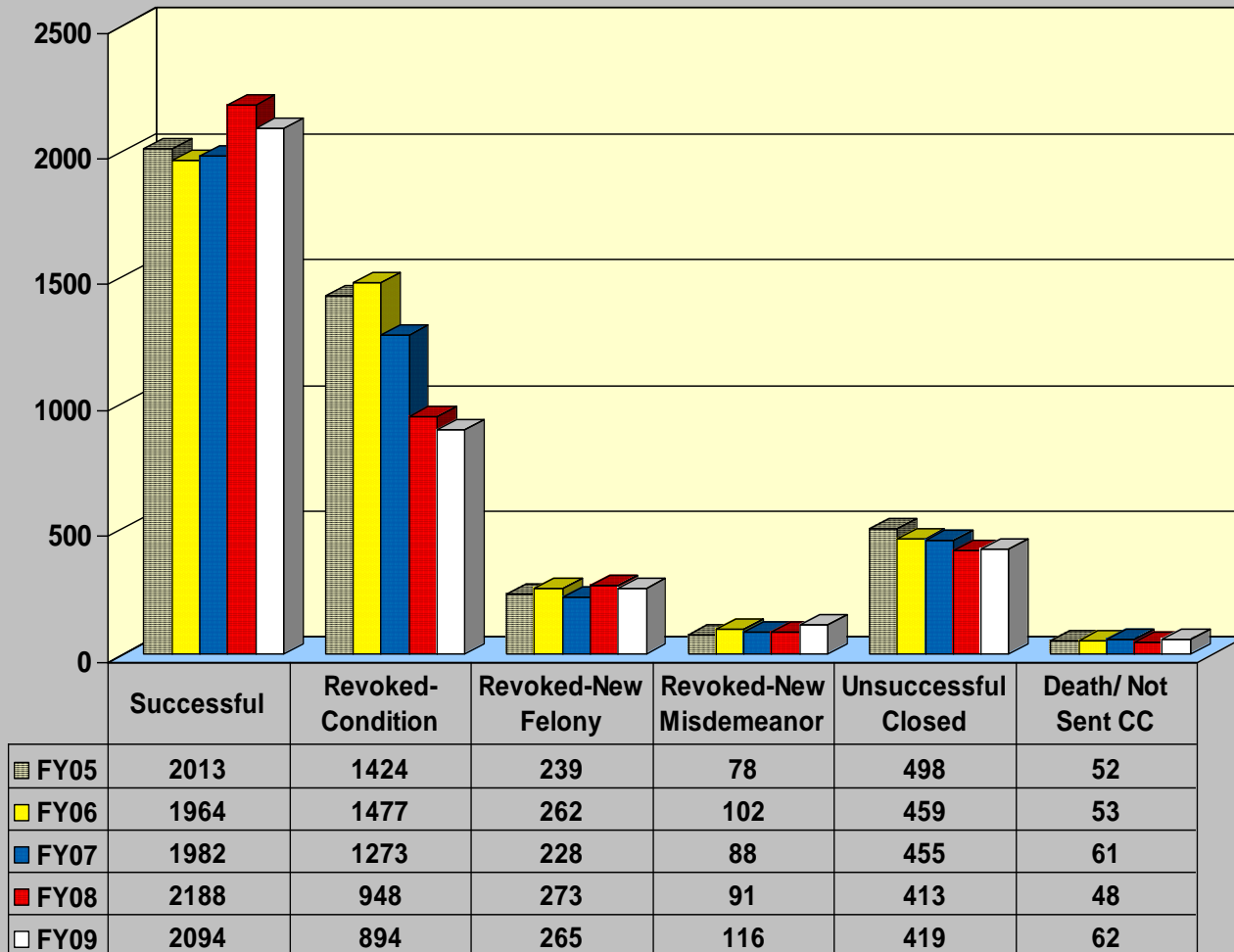
NUMBER OF SB 123 CLOSED OFFENDER FILES BY REASON FOR CLOSURE FISCAL YEARS: 2005 - 2009



	Successful	Revoked-Condition	Revoked-New Felony	Revoked-New Misdemeanor	Unsuccessful Closed	Death/ Not Sent CC
■ FY05	122	81	10	3	10	27
■ FY06	401	196	23	6	53	57
■ FY07	634	290	34	16	59	73
■ FY08	755	222	39	16	72	72
■ FY09	928	197	54	14	104	78

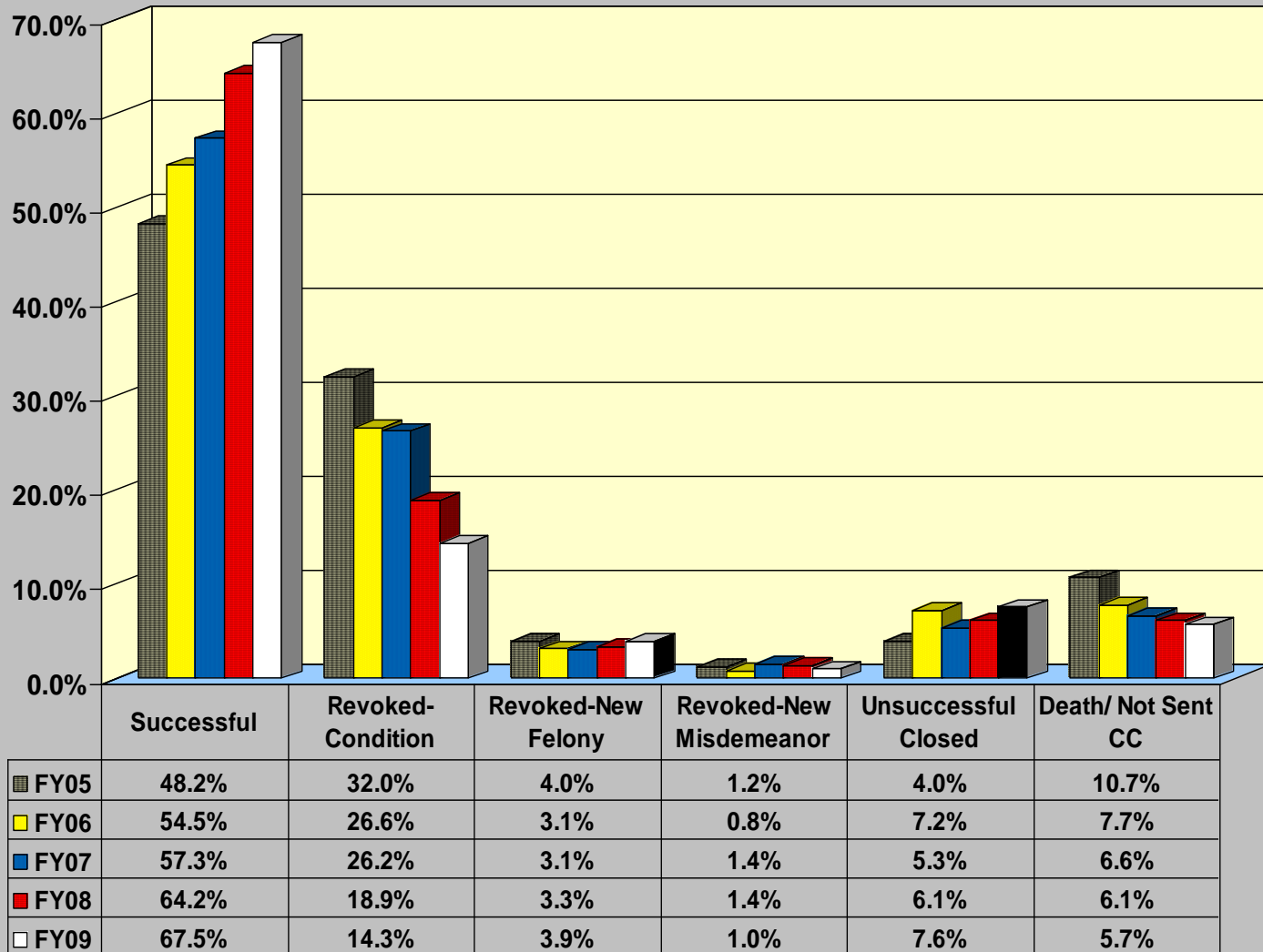
FY05 (N=253) FY06 (N=736) FY07 (N=1106) FY08 (N=1176) FY09 (N=1375)

**NUMBER OF NON-SB 123 CLOSED OFFENDER FILES BY REASON FOR CLOSURE
FISCAL YEARS: 2005 - 2009**

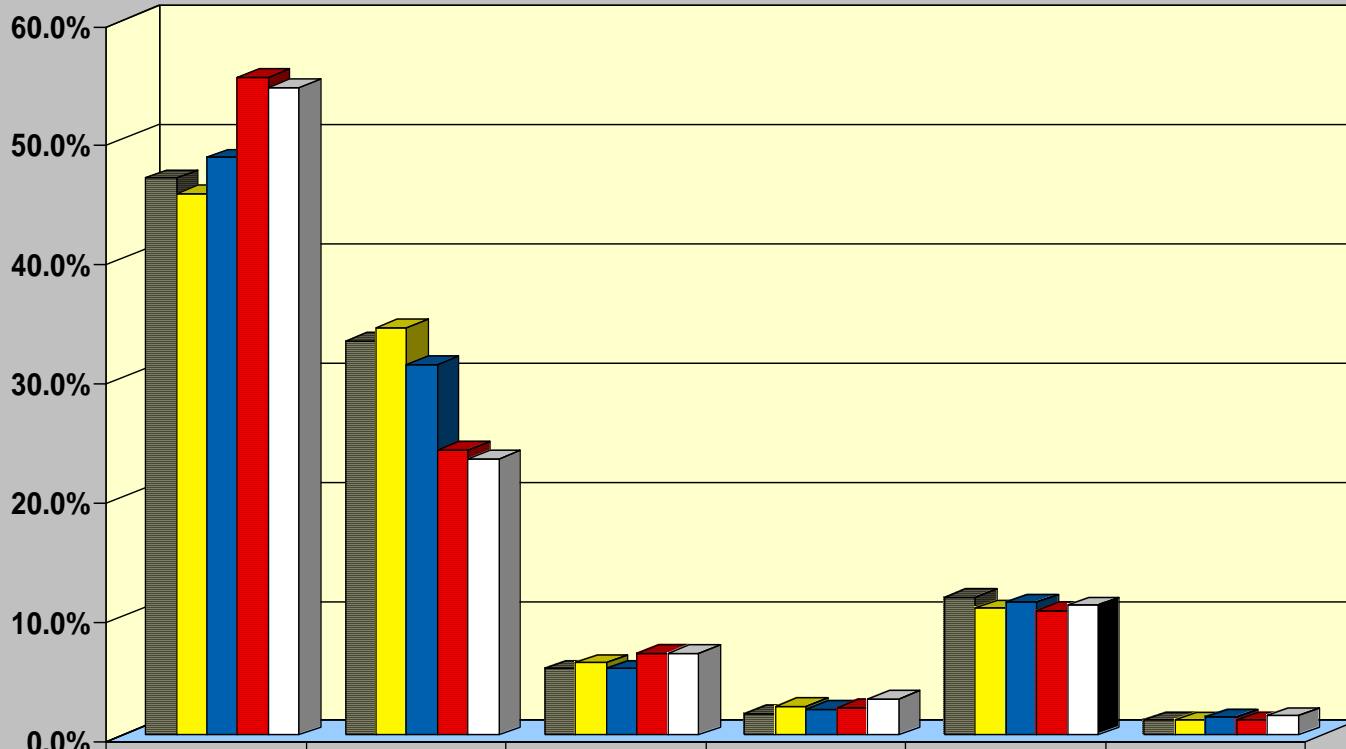


FY05 (N=4304) FY06 (N=4317) FY07 (N=4087) FY08 (N=3961) FY09 (N=3850)

**PERCENTAGE OF SB 123 CLOSED OFFENDER FILES BY REASON FOR CLOSURE
FISCAL YEARS: 2005 - 2009**



**PERCENTAGE OF NON-SB 123 CLOSED OFFENDER FILES BY REASON FOR CLOSURE
FISCAL YEARS: 2005 - 2009**



	Successful	Revoked-Condition	Revoked-New Felony	Revoked-New Misdemeanor	Unsuccessful Closed	Death/ Not Sent CC
■ FY05	46.8%	33.1%	5.6%	1.8%	11.6%	1.2%
■ FY06	45.5%	34.2%	6.1%	2.4%	10.6%	1.2%
■ FY07	48.5%	31.1%	5.6%	2.2%	11.1%	1.5%
■ FY08	55.2%	23.9%	6.9%	2.3%	10.4%	1.2%
■ FY09	54.4%	23.2%	6.9%	3.0%	10.9%	1.6%

BREAK

Kansas Department of Corrections

Senate Bill 123

SB 123 Skill Training Certification Report

KDOC Training Responsibilities

Senate Bill 123

Community Corrections

- LSI-R
- Cognitive-behavioral tool

Substance Abuse Treatment Providers

- CBIT certification training (T4C)
- Integration/addendum plan approval
- Site visits

Level of Service Inventory (LSI-R)

Senate Bill 123

Risk/needs assessment identifies:

- risk for re-offending
- criminogenic needs

Instrument frequency

- prior to conviction
- 6 months (or significant event)
- discharge

Certification Process

Senate Bill 123

Case Management 101 Training

- Offender Management
- Criminal Thinking (Social Learning)
- LSI-R Instrument
- Effective Communication Skills

Certification Process

Senate Bill 123

Case Management 101 Training

4 week practice period (LSI-R)

10 practice assessments

1 video taped

Certification Process

Senate Bill 123

Case Management 103 Training

Follow-up training

- Practice assessment feedback
- Advanced communication skills

Kansas Department of Corrections

Senate Bill 434

Court Services
Implementation FY 2008
Governor Sebelius 5/2006

Senate Bill 434

“The pre-sentence report will become part of the court record and shall be accessible to the public, except that the official version, defendant’s version and the victim’s statement, any psychological reports, risk and needs assessments and drug and alcohol reports and assessments shall be accessible only to the parties, the sentencing judge, the department of corrections, and if requested, the Kansas sentencing commission. If the offender is committed to the custody of the secretary of corrections, the report shall be sent to the secretary and, in accordance with K.S.A. 75-5220 and amendments thereto to the warden of the state correctional institution to which the defendant is conveyed.”

Kansas Department of Corrections

CM 101/103 FY'11

TCF July 12 – 16 / August 24 – 25

Wichita Parole Oct. 25 – 29 / Dec. 14 – 15

JCMSC Jan. 24 – 28 / March 2 – 3

HCF April 4 – 8 / May 10 - 11

Kansas Department of Corrections

CM 101/103

To register go to:

<http://train.doc.ks.gov/moodle/login/index.php>

Certification Process

CBIT

Cognitive Behavioral
Intervention Tools
Certification Training
(T4C)

Kansas Department of Corrections

CBIT FY'11

- TCF July 26 – 29
- KHP Saline October 4 – 7
- TCF January 3 – 6
- Wichita (TBD) April 18 – 21
- TCF June 6 - 9

Fax registration form to

<http://www.doc.ks.gov/>

Beth Mellies

(785) 296-0460

Fax (785) 296-0304

bethm@doc.ks.gov

Kansas Department of Corrections

Senate Bill 123

Site Visits

Kansas Department of Corrections

Senate Bill 123

Notification from KDOC

- Pre-site visit packet

Site Visits

- File review
- Group observation
- Client/staff interviews

Documentation Review

Site Visits

- SB 123 assessment form
- Client placement agreement
- Cog restructuring tool
- ASI: 30 day, Discharge
Post treatment (6 month)

Client Interviews

Site Visits

- What has the program taught you?
- What tools do you use?
- How do practice the skills?
- What do you like/dislike about the program?

Staff Interviews

Site Visits

- How are things going with SB 123?
- How is your agency's relationship with Community Corrections?

Group Observation

Site Visits

Always assessed on

Group facilitation

Group management

Reflective communication and...

Group Observation

Site Visits

At least one of the following

- **Cognitive Restructuring**
- **Social Skills**
- **Problem Solving**

Post Visit Process

Site Visits

- **KDOC coordinate results**
- **Draft report is:**
 - written
 - reviewed
 - mailed to agency

Post Visit Process

Site Visits

- Agency responds
- Final report is drafted:
 - reviewed
 - mailed to agency

SB 123 Web-Site and Staff

<http://www.doc.ks.gov/>

Gert Cozadd

(785) 296-3998

gertc@doc.ks.gov

Terrell Brooks

(785) 368-7251

terrellb@doc.ks.gov

Kevin Smith

(785) 291-3192

kevins@doc.ks.gov

Available Information

- **General SB 123 information**
(approved provider list and modalities, etc.)
- **Updated integration plan formats**
- **Cognitive-behavioral tool certification training information**

Available Information

- **Training applications/contact information**
- **Misc. SB123 links**
 - **SASSI**
 - **KSC**

Things to Remember

**Any changes to the approved
SB 123 integration plan and/or
addendum must be KDOC
approved**

Things to Remember

Providers are responsible for notifying KDOC of staffing changes pertinent to SB123

- In a timely manner**
- Accompanied by the appropriate and relative corrections**

Things to Remember

Agencies providing SB123 services without a CBT certified counselor are non-compliant

Roster space is reserved based upon the order of training applications received

Things to Remember

CBIT certification training reservations are allocated by agency; should the reserved space become available the reserving agency may re-allocate the slot at their discretion

Kansas Department of Corrections

Senate Bill 123

Questions?
Comments?